Eagle Strategies LLC

2000 S Colorado Blvd
Tower 2, Suite 1100
Denver, CO 80222

Chris J. Grimes, CFP®, RICP®, CAP®
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CONFIDENTIAL QUESTIONNAIRE

Filling out this confidential questionnaire is the first step to developing a strong financial strategy. Please be assured that your information will be treated with the highest degree of confidentiality. If you have any questions, do not hesitate to call my office.

Please complete and email this questionnaire prior to your appointment to make the best use of our time together.

WHAT TO BRING TO YOUR APPOINTMENT:

In order for me to offer a sound financial strategy, I strongly urge you to bring the following documents along with you to your appointment. Your documents will be held in a confidential manner during the time I need to review them. They will be returned to you as quickly as possible. If you prefer, bring duplicate copies of your financial papers to your appointment as they are acceptable.

The privacy and confidentiality of your personal information is very important to me. I adhere to all privacy and confidentiality requirements for all entities whose products or services I offer.

Statements	○ **Investment Accounts** ○ **Personal Retirement Accounts**
Employment Documents	○ **Pay Statement** ○ **Employee Benefits** ○ **Qualified Account Statement(s)** ○ **Stock Option Plan**
Insurance Documents	○ **Life Insurance Statement(s)** ○ **Disability Insurance Statement(s)** ○ **Long Term Care Statement(s)**
Tax, Legal and Business Documents	○ **Tax Returns** ○ **Wills** ○ **Trust Documents** ○ **Power of Attorney** ○ **Operating Document** ○ **Succession Plan & Buy-Sell Agreements**

Note: I do not offer tax, legal, or accounting advice. Please consult with your own advisers for tax, legal or accounting advice

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FAMILY INFORMATION

Today's Date:

FAMILY DATA:

	DATE OF BIRTH	BIRTH PLACE
Your Full Name		
Spouse or Partner's Full Name		
Child		
Child		
Child		
Child		

RESIDENCE:

Street Address	City	State	Zipcode

CONTACT:

Home Phone	Your Mobile Phone	Spouse or Partner's Mobile Phone
Alternate Method	Your Preferred Email	Spouse or Partner's Preferred Email

EMPLOYMENT DATA:

	Occupation / Specialty	Employer	How Long?
You			
Spouse or Partner			

Employer's Street Address	City	State	Zipcode	Office Phone No.
Spouse or Partner Employer's Street Address	City	State	Zipcode	Office Phone No.

PRIMARY INCOME:

	Base Salary	Estimated Bonus	Other Sources	Other Sources
You				
Spouse or Partner				

FINANCIAL GOALS & PRIORITIES:

What are your most important financial goals?
What are your priorities? (Please use 1 to 5) ____Education ____Retirement ____Second Home / Investment Property ____Family Security ____Wealth Accumulation Other:
How much more could you save per month to apply toward your goals?
Is there anything disturbing about your overall planning?
What are your planning objectives in the event of an unexpected disability? Death?
Do you have anyone not yet mentioned dependent on you?

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ASSETS

CASH ASSETS:

	Owner	Account Balance	Annual Deposit(s)
Checking Account	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Checking Account	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Savings Account	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Savings Account	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Money Market Fund	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Certificate of Deposit	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Cash On Hand	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$

RETIREMENT ASSETS:

	Tax Type	Owner	Current Value	Annual Deposit
IRA		☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
IRA		☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Work Savings Plan		☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Work Savings Plan		☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Pension		☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Other		☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Other		☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Other		☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$

INVESTMENT ASSETS:

	Owner	Account Balance	Annual Deposit(s)
Brokerage Account	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Brokerage Account	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Brokerage Account	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Mutual Funds	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Annuity	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Annuity	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$
Other	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$

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REAL ESTATE & CONSUMER DEBT

REAL ESTATE:

		Ownership	Year Acquired	Tax Basis	Current Value
Home		☐ Primary ☐ Joint ☐ Partner ☐ Business		$	$
Second Home		☐ Primary ☐ Joint ☐ Partner ☐ Business		$	$
Land		☐ Primary ☐ Joint ☐ Partner ☐ Business		$	$
Rental Property	Cash Flow $	☐ Primary ☐ Joint ☐ Partner ☐ Business		$	$
Rental Property	Cash Flow $	☐ Primary ☐ Joint ☐ Partner ☐ Business		$	$
Rental Property	Cash Flow $	☐ Primary ☐ Joint ☐ Partner ☐ Business		$	$
Other		☐ Primary ☐ Joint ☐ Partner ☐ Business		$	$

MORTGAGE:

	Original Amount	Current Amount	Monthly Payment	Interest Rate	Type
Home	$	$	$	%	
Second Home	$	$	$	%	
Rental Property	$	$	$	%	
Rental Property	$	$	$	%	
Other	$	$	$	%	

LOAN & DEBT:

	Ownership	Balance	Monthly Payment	Interest Rate
Auto	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$	%
Auto	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$	%
Credit Card	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$	%
Credit Card	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$	%
Credit Card	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$	%
Student Loan	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$	%
Student Loan	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$	%
Miscellaneous	☐ Primary ☐ Joint ☐ Partner ☐ Business	$	$	%

INSURANCE:

	Insured	Type	Coverage Amount	Cash Value	Annual Premium
Insurer	☐ Primary ☐ Joint ☐ Partner ☐ Business	☐ Term ☐ Disability ☐ UL ☐ VUL ☐ WL ☐ LTC	$	$	$
Insurer	☐ Primary ☐ Joint ☐ Partner ☐ Business	☐ Term ☐ Disability ☐ UL ☐ VUL ☐ WL ☐ LTC	$	$	$
Insurer	☐ Primary ☐ Joint ☐ Partner ☐ Business	☐ Term ☐ Disability ☐ UL ☐ VUL ☐ WL ☐ LTC	$	$	$
Insurer	☐ Primary ☐ Joint ☐ Partner ☐ Business	☐ Term ☐ Disability ☐ UL ☐ VUL ☐ WL ☐ LTC	$	$	$

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Please share any other information that would help us understand your current situation: